Capillary forces models for the interaction between a cylinder and a plane

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Abstract— The theoretical study presented in this paper aims at proposing two capillary forces models related to the micro/nanomanipulation of cylindrical and prismatic components. The underlying application framework is related to the objectives of the European NanoRAC project, which are the manipulation and characterization of nanocomponents such as nanotubes or nanowires. The analytical equivalence of Laplace and energetical method in the case of prism/plane interaction has been demonstrated, and then applied numerically to the cylinder/plane interaction.

I. INTRODUCTION

A lot of work has been reported on capillary forces modelling based on energetic method or a direct force computation from the meniscus geometry obtained by numerically solving the so-called Laplace equation or approximated by a geometrical profile (circle, parabola). More information can be found in [1]. This paper aims at proving that the capillary force obtained by derivating the interfacial energy is exactly equal to the sum of Laplace and tension terms, clarifying models presented in [2], [3], [4]. This is proven by qualitative arguments, section II presents analogy and difference between both study cases : prism and cylinder, an analytical proof is given, in section III, for the case of the interaction between a prism and a plane, section IV presents Laplace method applied to the cylinder, and some numerical results.

II. CYLINDER/PRISM ANALOGY AND DIFFERENCE

This section aims at defining the meniscus shape equation, and calculating the volume of liquid, for both geometries interactions : prism and cylinder interacting with a plane.

A. Meniscus shape

Let us describe some notations used in figure 1, θ_1 and θ_2 are the contact angles between liquid and, respectively, the plane and the prism (or the cylinder), z is the distance between the object and the plane, ϕ represents the aperture angle for the prism and the immersion angle for the cylinder (fig. 2), h is the immersion height, α is the sum of both angles ϕ and θ_2 , x_1 and x_2 are positions of the contact line with liquid.

Both interaction models presented here below are based on a simplification of the Laplace equation giving the pressure



Fig. 1. 2D notations for Prism/Plan interactions

difference across the liquid-vapor interface $p_{in} - p_{out}$ as a function of the surface tension γ and the meniscus curvature H [5]:

$$2\gamma H = p_{in} - p_{out} \tag{1}$$

which can be rewritten into :

$$\gamma(\frac{1}{R_1} + \frac{1}{R_2}) = p_{in} - p_{out}$$
(2)

where $\left(\frac{1}{R_1} + \frac{1}{R_2}\right)$ represent the double of the mean curvature H.

Since the prism and the cylinder are defined along the Z axis perpendicular to I_{XY} , the curvature of the meniscus in this direction is null and the Laplace equation becomes :

$$\frac{x''}{(1+x'^2)^{\frac{3}{2}}} = \frac{\Delta p}{\gamma}$$
(3)

whose left hand side represents the meniscus curvature in the O_{XY} plane ([]' = $\frac{d[]}{dy}$). The second term is assumed to be constant (*i.e.* the so-called Bond number \ll 1) and this equation can be integrated twice with respect to y, to find the expression of the meniscus profile in the O_{XY} plane.

An easier way to understand the shape of the meniscus is based on the fact that a curve with a constant curvature is a circle, whose radius will be noted ρ , center coordinates are (x_0, y_0) , and equation is given by :

$$x = x_0 - \sqrt{\rho^2 - (y_0 - y)^2} \tag{4}$$

It can be deduced from Fig. 1 that :

$$\rho = \frac{z+h}{\cos\theta_1 + \cos\alpha} \tag{5}$$

$$y_0 = \rho \cos \theta_1 \tag{6}$$

$$x_0 = x_2 + (-y_0 + z + h) \tan \alpha$$
 (7)

Note that in equations 5-7, h, θ_1 , α are given.

$$x_2 = \frac{h}{\tan\phi}$$

where ϕ is given and h is to be determined from the known volume of liquid V (see further equation 15) To summarise, using the circle description, eq. 3 can be simplified as :

$$\frac{1}{\rho} = \frac{\Delta p}{\gamma} \tag{8}$$

Note that this simplified expression is exact in the prismatic chosen case and can be used as an approximation for sphere/plane interaction when the curvature radius ρ is much smaller than the neck radius $\rho' = \min(x)$, which is the case for small gap z.

B. Liquid volume

As already stated, the liquid volume V will be used to find (i) the immersion height h in the case of the prism and (ii) the immersion angle ϕ in the case of cylinder. In both cases, V is written as :

$$V = 2L \int_0^{z+h} x(y) \, dy - v^i$$
 (9)

where x(y) is given by eq. 4, L is the object's length and v^i is the prism volume v^{pr} or cylinder volume v^{cyl} to remove $\binom{1}{2}$:

$$v^{pr} = Lx_2h \tag{10}$$

$$v^{cyl} = LR^2(\phi - \operatorname{cs} \phi) \tag{11}$$

Eq. 9 can be rewritten as eq. 12 and implies evaluation of the integral noted I in eq. 13 :

$$V = 2L \int_{0}^{z+h} \left[x_{0} - \sqrt{\rho^{2} - (y_{0} - y)^{2}} \right] dy - v^{i}$$

= $2Lx_{0}(z+h) - v^{i} - 2L \int_{0}^{z+h} \sqrt{\rho^{2} - (y-y_{0})^{2}} dy$ (12)

using the substitution $u \equiv y - y_0$,

$$I = \int_{-y_0}^{-y_0+z+h} \sqrt{\rho^2 - u^2} \, du$$

= $\left[\frac{\rho^2}{2} \operatorname{asin} \frac{u}{\rho} + \frac{u}{2} \sqrt{\rho^2 - u^2} \right]_{-y_0}^{-y_0+z+h}$ (13)

 1 cs $x \equiv \cos(x)\sin(x)$ is used as notation in the whole document

Using eq. 5-6, eq. 13 can be rewritten as :

$$I = \frac{\rho^2}{2} (\pi - \alpha - \theta_1 + \operatorname{cs} \alpha + \operatorname{cs} \theta_1)$$
(14)

and, consequently,

$$V = 2Lx_0(z+h) - v^i$$
$$-L\rho^2(\pi - \alpha - \theta_1 + \operatorname{cs} \alpha + \operatorname{cs} \theta_1) \qquad (15)$$

Using expression of x_0 in eq. 7 and the appropriate volume v^i , the expression of liquid volume (eq.10-11) can now be deduced from eq. 15.

III. STUDY CASE: PRISM/PLAN INTERACTION

This section aims at applying the Laplace based and energetic force model, using the geometrical results of section II.

A. Laplace approach

Using eq. 15 and prism parameters, the expression of volume V becomes:

$$V = 2Lz \frac{h}{\tan\phi} + L \frac{h^2}{\tan\phi} + L(z+h)^2\mu \qquad (16)$$

with
$$\mu = \frac{\operatorname{cs} \alpha + 2 \sin \alpha \cos \theta_1 - \pi + \alpha + \theta_1 - \operatorname{cs} \theta_1}{(\cos \theta_1 + \cos \alpha)^2}$$

The previous equation can be rewritten into a second degree equation in h whose positive solution gives the immersion height :

$$h^{2} + 2hz + \frac{z^{2}\mu - \frac{V}{L}}{\frac{1}{\tan\phi} + \mu} = 0$$
(17)

$$h = -z + \sqrt{z^2 - \frac{z^2 \mu - \frac{V}{L}}{\frac{1}{\tan \phi} + \mu}}$$
(18)

The capillary force can be written as the sum of a term depending on the Laplace pressure difference Δp and the so-called tension term :

$$F = 2x_1L\Delta p + 2L\gamma\sin\theta_1$$
(19)

$$= 2(x_0 - y_0\tan\theta_1)L\frac{\gamma}{\rho} + 2L\gamma\sin\theta_1$$
(19)

$$= 2\left(\frac{h}{\tan\phi} + \rho\sin\alpha - \rho\sin\theta_1\right)L\frac{\gamma}{\rho} + 2L\gamma\sin\theta_1$$
(19)

$$= 2L\gamma\left(\frac{h}{\rho\tan\phi} + \rho\sin\alpha - \rho\sin\theta_1\right) + 2L\gamma\sin\theta_1$$
(19)

$$= 2L\gamma\left(\frac{h}{\rho\tan\phi} + \rho\sin\alpha - \rho\sin\theta_1\right) + 2L\gamma\sin\theta_1$$
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$$= 2L\gamma\left(\frac{h}{\rho\tan\phi} + \rho\sin\alpha - \rho\sin\theta_1\right) + 2L\gamma\sin\theta_1$$
(20)

$$F = 2L\gamma\left(\frac{h}{h+z}\left(\frac{\cos\theta_1 + \cos\alpha}{\tan\phi}\right) + \sin\alpha\right)$$
(20)

B. Energetical approach

The energetical method is based on the derivation of the total interfacial energy W given by :

$$W = \gamma \Sigma + \sum_{pr,pl} A^i_{SV} \gamma^i_{SV} + \sum_{pr,pl} A^i_{SL} \gamma^i_{SL} + C \qquad (21)$$

where γ is the surface tension, Σ is the liquid-vapor area, A_{SV}^i (A_{SL}^i) is the solid-vapor (solid-liquid) area on solid *i*, γ_{SV}^i (γ_{SL}^i) is the solid-vapor (solid-liquid) interfacial energy and *C* is an arbitrary constant, which will be discarded by derivation at the next step.

For the prism/plane interaction, the different surfaces are given by :

$$\Sigma = 2\rho(\pi - \alpha - \theta_1)L \tag{22}$$

$$A_{SL}^{pr} = 2L \frac{h}{\sin \phi} \tag{23}$$

$$A_{SL}^{pl} = 2x_1L \tag{24}$$

$$A_{SV}^{pr} = 2L \frac{K-h}{\sin \phi}$$
(25)

$$A_{SV}^{pl} = 2(r - x_1)L (26)$$

K and r in eq.25-26 represent arbitrary distance to calculate interaction surfaces.

Using the Young-Dupré equation, interfacial energies can be replaced by contact angles (see Fig. 1) and surface tension :

$$\gamma_{SV}^i - \gamma_{SL}^i = \gamma \cos \theta_i \tag{27}$$

The expression of the total interfacial energy (eq. 21) can be rewritten as follows :

$$W = 2L \left(\frac{h}{\sin \phi} (\gamma_{SL}^{pr} - \gamma_{SV}^{pr}) + x_1 (\gamma_{SL}^{pl} - \gamma_{SV}^{pl}) + \rho(\pi - \alpha - \theta_1) \gamma \right) + \underbrace{\left[2L \frac{H}{\sin \phi} \gamma_{SV}^{pr} + 2rL \gamma_{SV}^{pl} \right]}_{C}$$
(28)

This equation can be rewritten, using eq. 27, into :

$$W = 2L\gamma \left(-\frac{h}{\sin\phi}\cos\theta_2 - x_1\cos\theta_1 +\rho(\pi - \alpha - \theta_1)\right) + C$$
(29)

using
$$x_1 = x_0 - y_0 \tan \theta_1$$
 (30)

$$W = \left(\frac{z+h}{\cos\theta_1 + \cos\alpha} \left(\pi - \alpha - \theta_1 - \sin\alpha\cos\theta_1 + \cos\theta_1\right)\right)$$

$$-h\left(\frac{\cos\theta_1}{\tan\phi} + \frac{\cos\theta_2}{\sin\phi}\right)\right) 2L\gamma \tag{31}$$

$$W = 2L\gamma \left((z+h)\beta - h\left(\frac{\cos\theta_1}{\tan\phi} + \frac{\cos\theta_2}{\sin\phi}\right) \right)$$
(32)

with
$$\beta = \frac{\pi - \alpha - \theta_1 - \sin \alpha \cos \theta_1 + \cos \theta_1}{\cos \theta_1 + \cos \alpha}$$

To obtain the capillary force, expression 32 will be derived according to z, since the term noted C is constant, it can be left. Variation of h with respect to z can be deduced assuming $\frac{dV}{dz} = 0$ from eq. 18 :

$$\frac{dh}{dz} = -1 + \frac{z}{z+h} \frac{1}{1+\mu \tan \phi}$$
(33)

The expression of derivate of W according to z using derivate of h (see eq. 33) :

$$\frac{dW}{dz} = 2L\gamma \left[\frac{\cos\theta_1}{\tan\phi} + \frac{\cos\theta_2}{\sin\phi} + \frac{z}{z+h} \left(\beta - \frac{\cos\theta_1}{\tan\phi} - \frac{\cos\theta_2}{\sin\phi} \right) \frac{1}{1+\mu\tan\phi} \right] (34)$$

And finally, the expression of capillary force F is given by :

$$F = -\frac{dW}{dz} \tag{35}$$

C. Equivalence of both methods

In order to show equivalence between both methods, equations 20 and 35 need to be equal. In eq. 34 (energetical method), the term factor of $\frac{z}{z+h}$ can be expressed as:

$$\left(\beta - \frac{\cos\theta_1}{\tan\phi} - \frac{\cos\theta_2}{\sin\phi}\right)\frac{1}{1+\mu\tan\phi} = -\frac{\cos\theta_1 + \cos\alpha}{\tan\phi}$$
(36)

Eq. 34 can be rewritten into

$$\frac{1}{2L\gamma}\frac{dW}{dz} = \frac{\cos\theta_1}{\tan\phi} + \frac{\cos\theta_2}{\sin\phi} - \frac{z}{z+h}\frac{\cos\theta_1 + \cos\alpha}{\tan\phi} \quad (37)$$

By substracting and adding $\sin \alpha$ to the latter equation, the expression of force can be found :

$$\frac{1}{2L\gamma}\frac{dW}{dz} = \frac{h}{h+z}\left(\frac{\cos\theta_1 + \cos\alpha}{\tan\phi}\right) + \sin\alpha$$

For the prism/plan interaction, both methods are identical, it can also be shown numerically for the interaction between cylinder and plan.

IV. REAL CASE: CYLINDER/PLAN INTERACTION

A. Laplace approach

Using eq. 15 and cylinder parameters (see figure 2), a mathematical relation similar to eq. 16 can be found between V and h.

Unfortunately, h cannot be found analytically² and a numerical algorithm has to be implemented whose details are beyond the scope of this paper.

The conclusion of it is however to proove the equivalence of both force model once again. Since the Laplace approach is easier, it will be used in what follows, leading to :

$$F = 2L\gamma \left(R \frac{\cos \theta_1 + \cos \alpha}{z + R(1 - \cos \phi)} \sin \phi + \sin \alpha \right)$$
(38)

²that is the reason why this relation has been studied, because it has an analytical solution in the case of prism/plane interaction.



Fig. 2. 2D notations for Cylinder/Plan interactions

B. Results

In figure 3, the normalised capillary force $\overline{F} = F/2L\gamma$ is expressed using adimensionnal numbers : θ_1 , θ_2 , V/L^3 , z/Land R/L, then it is plotted versus the ratio z/L.

Fig. 3(a) shows variations of force \overline{F} with the ratio V/L^3 . The capillary force \overline{F} seems to increase when the volume V and the separation distance z decrease. For higher values of ratio z/L and ratio V/L^3 , the force \overline{F} converges towards 1.

Fig. 3(b) shows variations of force \overline{F} with the contact angles $\theta_1 = \theta_2 (\equiv \theta_s)$. For a ratio z/L larger than 1 the force \overline{F} seems to remain constant for all values of θ_s , towards $\overline{F} \approx 1$. For small values of θ_s , the force increases slowly when z/L decreases. When values of θ_s increase and the ratio z/L decreases, the force \overline{F} decreases rapidly until the ratio z/L = 1, and slowly after this ratio.

Fig. 3(c) shows variations of force \overline{F} with the ratio R/L. There is also a different behaviour near the ratio z/L=1, towards z/L=1 (superior values), the capillary force increases to pass through a maximum, after this peak, the force decreases to remain constant when the separation distance z decreases. The value of the peak increases when the ratio R/L decreases.

V. CONCLUSION

As a conclusion, it has been demonstrated that using the simple prism/plan interaction, Laplace approach and energetical approach are equivalent in order to evaluate the capillary force. This result has been used to show numerically the same equivalence in the case of the cylinder/plan interaction, which can describe, for example, nanowires/plane interactions with a liquid layer. Experiments need to be undertaken to proove or refute this numerical results.

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Fig. 3. Abacus for Cylinder/Plan interaction

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