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# Real-time facial feature localization by combining space displacement neural networks

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#### 7 Abstract

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We present in this paper a new facial feature localizer. It uses a kind of auto-associative neural network trained to localize specific facial features (like eyes and mouth corners) in orientation-free face-images (i.e. images where faces are rotated in-plane and out-ofplane). To increase localization accuracy, two extensions are presented. The first one uses space displacement neural networks instead of classical, fully-connected networks. The second one combines several specialized networks trained to deal with each face orientation. A gating network is then used for combination. Finally, a two stage localizer is presented, which increases speed. Thorough evaluation is performed; including sensitivity to identity, noise and occlusions. The mean localization error (estimated on more than 4000 test images) is about 15% and the system can perform 40 images/s.

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16 *Keywords:* Face analysis; Facial feature localization; Space displacement neural network; Gating network

#### 18 1. Introduction

Localization and tracking of face and facial features is 19 becoming a very important task in applications such as 20 model-based video coding, facial image animation, face 21 recognition, facial emotion recognition, visual speech 22 understanding, and intelligent human-computer interac-23 tion. Although these problems are usually simple tasks 24 25 for the human visual system, they have proven to be difficult for machine vision. Due to changes in orientation, 26 lightning or expression, face and facial features can have 27 quite different appearances. In this paper, we focus on 28 facial feature localization as the key step in feature-based 29 face image compression or head pose estimation. Many 30 face recognition systems are based on facial features, such 31 as eyes, nose and mouth, and their spatial relationship. 32 33 Chellappa et al. (1995) called this the constituted approach.

Chin and head boundary extraction has also been 34 addressed by Xiao and Yan (2004). Many feature detection 35 methods have been developed in the last decade, but a wide 36 majority concentrates on eye detection. In fact, eyes are 37 known as the most important salient feature and one of 38 the easiest to detect (nose appearance changes with face 39 pose and mouth aspect with facial expression). 40

In this paper, we address the problem of facial features (eyes and mouth corners) localization in orientation-free (also called multi-view) face-images. There can be three kinds of head rotations: in-plane (left–right head leaning), out-of-plane (up–down nodding) and profile view. The localization problem is far more complex than in the frontal face issue. As we already developed in our lab a face localizer (Belaroussi et al., 2006), we assume that face has been already roughly localized in a cluttered image.

The paper is organized as follows. The following section 50 is devoted to a brief overview of state-of-art methods. In 51 Section 3, we describe the database we used for experiments. Section 4 focuses on the localization algorithm. It 53 is a kind of auto-associative neural network trained to output a feature map, in which intensity sorted local maxima 55

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correspond to facial feature position. To increase localiza-56 tion accuracy, two extensions are presented. The first one 57 uses space displacement neural networks instead of classi-58 cal, fully-connected networks. The second one combines 59 several specialized networks trained to deal with each face 60 orientation. A gating network is then used for combina-61 62 tion. Section 5 is devoted to experimental results. Conclusions and prospects are presented in Section 6. 63

#### 64 **2. Overview of existing methods**

Existing methods can be divided into several categories. 65 A first classification is based on the acquisition device: 66 active infrared-based approaches (Zhu and Ji, 2005) or pas-67 sive image-based approaches. Another one depends on the 68 processed images: pre-focused images where rough feature 69 regions have already been located or cluttered images 70 71 where face detection is preceded before feature detection. A third category is based on the detection algorithm: 72 low-level image-based approaches or high-level statistical 73 appearance-based approaches. In order to get the best of 74 75 both worlds, many algorithms combine these approaches. 76 We present, in detail, some of these methods.

Image-based approaches use one or several low-level 77 detectors to find specific properties (such as edge, color, 78 and symmetry). Initial algorithms (like Xie et al., 1994) 79 were based on edge images, while a good edge image is 80 81 hard to get under uncontrolled lightning when the eve contrast is low. Toennies et al. (2002) applied Generalized 82 83 Hough Transform to detect and track eves. Feng and Yuen (2001) use three cues to detect eyes: the intensity (eye inten-84 sity is relatively low), the estimated direction of the line 85 joining the eye centers and the result of the convolution 86 87 of the image by an eye variance filter. This process generates a list of candidate eye pairs which are further 88 validated. 89

Statistical appearance-based approaches can be divided 90 91 into static and dynamic (active) methods. Moghaddam 92 and Pentland (1997) applied local principal component analysis in feature images to describe them in a low-dimen-93 sional space (eigenfeatures space). Duffner and Garcia 94 (2005) use a Convolutional Neural Network to perform 95 facial feature detection. Viola and Jones' state-of-art face 96 97 detector (2001) based on a cascade of boosted classifier has been applied to feature detection by Cristinacce and 98 Cootes (2003). The method uses simple Haar wavelets to 99 find optimal templates and the AdaBoost algorithm to 100 train the detector. They demonstrate that the performance 101 102 of these local detectors can be significantly improved by adding global shape constraints. Peng et al. (2005) use 103 more discriminant features instead of Haar wavelets to 104 improve eye detection accuracy in a similar AdaBoost pro-105 cess. Active methods are also widely used. Yuille et al. 106 107 (1992) propose to use deformable templates to locate 108 human eyes. They design an eye model (parameterized template) and define an energy function depending on the 109 image texture. The eye position is found by minimizing 110

the function through a recursive process. Recently, Active111Appearance Model (Cootes et al., 1998) has also been used112to predict facial feature locations, by attempting to match a113face model to an unseen face through adaptation of the114model shape and texture parameters. These methods are115very promising but time consuming and significantly influenced by noise, occlusions, and lightening.117

#### 3. Database

We have used two database in our experimentation -119 LISIF database and ECU database. The LISIF database 120 contains images of 37 individuals with various ages, gen-121 ders, and ethnicities. Images were taken under controlled 122 lightning. For each person, we took 36 images with several 123 facial orientations (in-plane and out-of-plane), expressions, 124 and "accessories" like beard or glasses (Fig. 9). The origi-125 nal resolution is  $100 \times 100$  pixels. In order to increase the 126 number of data, we computed the mirroring image. This 127 procedure results in a 2750 example dataset. The ECU 128 database contains more than 3500 images of different per-129 sons with complex background and images are taken under 130 different light conditions. Using ground truth data (rectan-131 gular face region), we extracted face-images and after mir-132 roring we obtained a dataset of more than 7000 face-133 images. 134

We clicked manually four facial features, left eye (1st feature), right eye (2nd feature), left mouth corner (3rd feature) and right mouth corner (4th feature) to create one feature map F for each face image. This feature map had the size of the face image and its pixels have the following value (where  $x_{oi}$  and  $y_{oi}$  denote the true feature coordinates):

-At the feature location: $F(x_{oi}, y_{oi}) = +1$	142
-Anywhere else: $F(i, j) = -1$	143

To normalize input images (Fig. 1), we performed histogram equalization. To normalize feature maps, we convolved these images with a  $3 \times 3$  gaussian filter, which results in smoothing feature maps. Several sub-sampling were tested to reduce the data dimension and, thus the number of parameters to be trained. 145

Facial feature are not randomly organized (except in 151 Picasso's paintings perhaps). So, we can get anthropomor-152 phic information about their spatial organization by ana-153 lyzing feature coordinates  $(x_{oi}, y_{oi})$ . Assuming the feature 154 coordinates joint density distribution is gaussian, we can 155 evaluate its parameters: mean (8 parameters) and covari-156 ance matrix (36 parameters) by using Maximum Likeli-157 hood estimator. Assuming this density is monovariate, 158 this estimation can be done on the whole dataset and leads 159 to orientation-free parameters. To take into account the 160 face orientation, we assume that feature density distribu-161 tion can be modeled by a mixture of gaussians, one for 162 each face orientation. In this latter case, we estimate 163 parameters on a given cluster. To perform self-supervised 164

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Fig. 1. Normalization process: original image (a), sub-sampled input image (b), sub-sampled and smoothed feature map (c).

orientation clustering, we assumed that there exists a 165 166 unique relationship between 2D facial feature location and 3D face pose. So, knowing the facial feature localiza-167 168 tion allowed predicting the face orientation. The Expectation-Maximization algorithm is applied to get clusters 169 with K-Means initialization and 1000 training epochs. We 170 applied this procedure considering up to six face orienta-171 tions. As can be seen for five clusters (Fig. 2), the clustering 172 had roughly separated the whole database in subsets, each 173 one corresponding to a certain orientation. 174

#### 175 4. Neural localizer

#### 176 *4.1. Hybrid auto-associative network*

177 It is a completely connected two-layered perceptron. The input and output layers have the same size as the 178 desired output is equal to the input. So, the network is 179 trained to reconstruct an output identical to its input. It 180 implements a specialized compression as its hidden layer 181 has much less units than input or output does. Kramer 182 183 (1991) and Hsieh (2001) shown that this compression is quite similar to non-linear principal component analysis. 184 185 This network was successfully used for data compression by DeMers and Cottrell (1993), handwritten character rec-186 ognition by Schwenk and Milgram (1995), and face detec-187 tion by Belaroussi et al. (2006) and Féraud et al. (2002). In 188 189 this latter application, the network is used to model the

"face-class" and trained to reconstruct face-images. Here, 190 we do not want to reconstruct a specific pattern class (the 191 "face-class" for example) but to localize specific features 192 within these patterns (eyes and/or mouth corners in the 193 face case). In other words, we want to associate an image 194 of face (input) with a facial feature map (output). So, we 195 used the normalized feature maps as desired output 196 described in Section 3. The network is trained using the 197 back-propagation algorithm with adaptive momentum. 198 The cost function is the mean squared error between net-199 work output and desired output (Fig. 3). Once trained, 200 the network is able to localize facial feature on unknown 201 test images. The feature positions can directly be inferred 202 by simply searching the local maxima in the output image 203 and back-projected onto the original image (Fig. 4). The 204 first four local maxima (representing eye centers and mouth 205 corners), sorted by the intensity values, are used. Let 206  $(x_{di}, y_{di})$  be the coordinates of these detected features. 207

#### 4.2. Space displacement neural network

Convolutional Neural Networks – also called Space Displacement Neural Networks (SDNN) in image analysis – are slightly different networks. Instead of being fully-connected like classical MLP's, their first layer(s) have local receptive fields. Each hidden cell is just connected to a small part of the input image and connections have their own independent weight(s). The concept of local receptive



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Fig. 3. Training process: input image (a) feeds the network. The mean squared error  $\varepsilon$  between network output (b) and feature map (c) is used as cost function.



Fig. 4. Decision process: network produces the output image (a) where local maxima are detected (b) and back-projected onto the original image (c).

216 field is inspired by perceptive psychology (Hubel and Wiesel, 1962). Convolutional neural networks have been 217 applied by LeCun et al. (1998) to handwritten character 218 recognition, by Garcia and Delakis (2004) to face detection 219 and by Duffner and Garcia (2005) to facial feature detec-220 tion. The proposed network architecture is shown in 221 Fig. 5. The number of neurons in the first hidden laver 222 depends on the choice of the size of the sub-window (X223 and Y) and the overlapping (defined as dX and dY) 224

between two adjacent sub-windows. The purpose of the 225 second layer is to compress the features information 226 extracted by the first layer (feature extraction layer). The 227 third layer extracts higher order features and transforms 228 the compressed extracted features to desired output map. 229 The second and third (output) layers are fully-connected 230 layers. Non-linear sigmoïdal units are used for hidden 231 and output layers neurons. Hidden layers in neural net-232 works are responsible for constructing higher order fea-233



Fig. 5. Space displacement neural network.

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tures, so more hidden layers can be added to increase the
network representation capability as done by Garcia
Q2 et al. [5]. However, increasing the hidden layers will also
increase the computational requirement for the training
of neural network. The proposed architecture is a compromise between these two constraints, i.e. optimal number of
hidden layers and computational complexity.

Important parameters of this network which are consid-241 ered during training are window size (X and Y), overlap-242 ping (dX and dY), number of hidden cells  $(N_{\rm H})$ , and 243 number of epochs (N). A small overlapping between two 244 adjacent sub-windows allows interpreting the overlapped 245 region by two different feature extractors. Thus, overlap-246 ping helps in construction of useful features from input 247 248 image.

#### 249 4.3. Multiple localizers and gating network

250 To improve the localizer accuracy, we decided to use several localizers; each one specialized on a given orienta-251 tion. The clustering procedure described in Section 2 could 252 separate the initial dataset into several subsets correspond-253 254 ing to a given face pose. Given N the number of considered orientations, the corresponding multiple localizer consists 255 in N networks. So, for an input image, we have now N out-256 put images and N localization hypotheses corresponding to 257 the first four intensity sorted local maxima of each output 258 259 image (Fig. 6).

260 We employ a Gating Network to combine these hypotheses. The Gating Network is a part of an ensemble network 261 as shown in Fig. 7. Ensemble networks are powerful tools 262 specially when facing complex problems. Network ensem-263 bles are made up of a linear combination of several net-264 works that have been trained using the same data, 265 although the actual sample used by each network to learn 266 can be different. Each network within the ensemble has a 267 potentially different weight in the output of the ensemble. 268 Perrone and Cooper (1993) have shown that generally, 269



Fig. 7. Gating network.

the network ensemble has a generalization error smaller than that obtained with a single network and also that the variance of the ensemble is smaller than that of a single network. The output of an ensemble Y is

$$Y = \Sigma g(i) y(i)$$
<sup>275</sup>

where y(i) is the output of *i*th network in ensemble when a face-image is presented to it and g(i) is the coefficient or weight associated to the *i*th network. The sub-sampled version of the same face-image is also presented to gating network.

In general, during the training of ensemble network, the experts learn their own task and gating network generates associated weights. But in our case, experts and gating network are trained individually. Experts have already been attributed the task. Each expert is specialized on a given face orientation. Once experts have been trained, the gating network is trained. The desired output of gating network is the associated weight g(i) of each network. These weights



Fig. 6. Multiple localizers: Input image (a), target image (b), output image for the five networks and localization hypothesis (c-g).

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are computed with Generalized Ensemble Method (GEM) 289 using the output of each expert. Perrone and Cooper (1993) 290 have shown that the mean square error of GEM estimator 291 is always less than or equal to mean square error of naïve 292 estimator. Moreover, as far as, the error of different experts 293 in ensemble is correlated, GEM provides the best estimate 294 295 of the target function (Y) in mean square sense. For a detail discussion, please see the authors work. 296

As stated earlier that generalized ensemble method chooses such weights g(i) that minimize the mean square error with respect to target function. It computes the misfit function, i.e. deviation of expert output from target and then using the symmetric correlation matrix, the desired weights/coefficients g(i) are calculated.

In our case, the purpose of gating network is not to extract features from face-image, a sub-sampled image is used for training. The gating network is a classical, fullyconnected two-layered perceptron. The network input is a  $20 \times 20$  face-image and its outputs are N (five for example) coefficients.

#### 309 4.4. A cascade system for real-time facial feature localization

The performance of multiple localizer is better than sin-310 gle one but due to increased number of neural networks, its 311 processing speed decreases. In Section 5, we can see that 312 single network can process 110 images/s while multiple 313 314 localizer can process on 11 images/s. However, in order to perform the localization task in real-time, the system 315 must process at least 30 images/s while keeping the good 316 performance, i.e. low localization error. 317

To accomplish this task, we propose a cascade system 318 that is able to meet real-time constraints while keeping 319 good performance. In our cascade system, the single and 320 multiple localizers are intelligently combined. Single local-321 izer acts as level 1 detector. If its hypothesis is rejected, 322 multiple localizer is activated to perform the detection of 323 324 facial features in face image. This acts as level 2 detector. 325 If level 2 detector fails, the face-image is rejected and next face-image is processed. 326

The rejection or acceptance of a certain localizer's 327 hypothesis (a feature map) is based on a validation step 328 which is able to differentiate between a poor localization 329 330 (false detections) or good localization (correct detections). As human face has a particular geometry so knowing the 331 location of some facial features, any false detection can eas-332 ily be detected and rejected. This validation step is based on 333 the computation of six mutual distances between the four 334 335 facial features (eyes centers and mouth corners). In this method, each distance is modeled by a univariate Gaussian 336 distribution. The parameters  $(\mu, \sigma)$  of these distributions 337 are estimated on the reference database. A set of features 338 is considered as valid if the six mutual distances lie within 339  $(\mu \pm 2\sigma)$  of the estimated distribution. 340

At level 3 of cascade a "local feature analyzer and corrector" is employed at the end to improve accuracy and to do detail analysis of facial features. It consists of four small neural networks which try to give accurate position344of a certain detected feature and also analyze the detected345region in more detail, e.g. eye region analyzer and corrector346can make a detail map of eye and eyebrows and also accu-347rately locate eye center as desired. In our experimentation,348four such level 3 detectors are employed to correct the349detection made by precedent detectors.350

#### 5. Experimental results

#### 5.1. Performance measure 352

The performance of a certain localizer is evaluated in 353 terms of normalized localization error (le). The normalized 354 localization error is defined as the mean Euclidean distance 355 between the detected feature position and the true feature 356 position normalized with respect to the inter-ocular distance  $D_{eves}$  (Euclidean distance between left and right eyes). 358

$$le(j) = \frac{1}{4^* D_{eyes}} \sum_{i=1}^{4} \sqrt{(x_{oi} - x_{di})^2 + (y_{oi} - y_{di})^2}$$
360

where  $(x_{oi}, y_{oi})$  is the *i*th feature location in desired feature 361 map and  $(x_{di}, y_{di})$  is the *i*th feature location detected in network output. 363

The mean normalized error is computed on all the test images. All the localization speeds are given on Intel Pentium Centrino 1.6 GHz using Matlab.

### 5.2. LISIF database 367

To evaluate the localizer accuracy, we applied the leave-368 many-out method for all the following experiments (except 369 the identity test). We divided the whole dataset into two 370 sets: training set (three-fourth) and test (one-fourth). We 371 dispatched peoples in both training and test sets with 372 slightly different orientations. For the identity test, we 373 applied the leave-one-out strategy: we tested networks on 374 images of one individual and used all the others to train. 375

#### 5.2.1. Hybrid auto-associative Network

We studied thoroughly this fully-connected architecture in (Prevost et al., 2006). We just present here the main conclusions.

Single localizer. First, we trained a single neural network 380 to localize facial feature on the whole database and per-381 form orientation-free localization. In the first experiment, 382 we tested the localizer sensitivity to feature number and 383 position. We trained several localizers. The first one con-384 sisted of four single feature localizers; each one specialized 385 on one facial feature. It results in four localization errors 386 for the left eye (LE), the right eye (RE), the left mouth cor-387 ner (LC) and the right one (RC). The second localizer used 388 two double feature localizers and each localizer deals with 389 a couple of features: left and right eyes (LRE) and mouth 390 corners (LRC). The last one was a quadruple feature local-391 izer (LREC). Table 1 summarizes results in term of mean 392

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Table 1 Mean normalized error of the single, double and quadruple feature localizers on the test set

Localizer	Mean normalized error
LE	0.12
RE	0.12
LC	0.15
RC	0.15
LRE	0.11
LRC	0.16
LREC	0.14

normalized error for the best network we found after optimization:  $20 \times 20$  input and output cells corresponding to the total number of pixels in image and feature map, 60 hidden cells and 10,000 training epochs.

These results are very interesting. The mean normalized 397 error is lower for the eyes than for the mouth corners as 398 these latter are more sensitive to facial expression. Sec-399 ondly, the mean error does not change when the number 400 of feature to localize increases. Owing to these conclusions, 401 we decide to use the quadruple localizer for further exper-402 iments. We also tested its sensitivity to person identity. The 403 results are shown in Table 2. Some useful statistics: mean, 404 median, standard deviation, etc. have been calculated from 405 leave-one-out test. It has been observed that mean localiza-406 407 tion error approximately doubles when a person is not present during training. Person identity problem depends 408 on various factors which include race, personal features 409 (a kid has different features than an aged person), skin 410 color, presence of "accessories" like beard or glasses, etc. 411 However, using a huge database containing various age 412 413 groups having different colors and race etc will solve this 414 problem.

Multiple localizers. To improve the localizer accuracy, 415 we decided to use several localizers; each one specialized 416 on a given orientation. The clustering procedure described 417 in Section 2 could separate the initial dataset into several 418 subsets corresponding to a given face pose. Given N the 419 420 number of considered orientations, the corresponding multiple localizers consist in N networks. So, for an input 421 422 image, we have now N output images and N localization 423 hypotheses corresponding to the first 4 intensity sorted local maxima of each output image. To compare the accu-424 racy of the multiple localizers, we compute the normalized 425

Table 2	
Sensitivity to	person identity

Statistics	Mean normalized error (network trained with all persons present)	Mean normalized error (network trained using leave-one-out method for identity test)
Maximum	0.53	0.82
Minimum	0.05	0.10
Mean	0.16	0.28
Standard deviation	0.10	0.16
Median	0.12	0.24



Fig. 8. Mean normalized error on the training set (dotted) and the test set (solid) versus number of orientations considered.

error for each hypothesis and apply the WTA (Winner Takes All) criterion to select the best one. We have considered up to N = 6 orientations.

As can be seen (Fig. 8) the mean normalized error429decreases continuously on both training and test sets when430N increases. Such results are quite logical: as the number of431specialized networks increases, the range of face orienta-432tions each network has to deal with decreases. The association process between face image and feature map becomes434easier and the normalized error decreases.435

#### 5.2.2. Space displacement neural network

Single localizer. Eight different realizations of this archi-437 tecture, based on choice of values of window size, overlap-438 ping and number of neurons in second hidden layer, are 439 trained and evaluated on the test dataset (720 images). A 440 total of 10,000 iterations are used to train each network 441 realization. The best score (minimum localization error in 442 mean sense) is obtained from network realization R4 which 443 contains 144 neurons (window size is  $6 \times 6$  and overlapping 444 is  $2 \times 2$ ) in first hidden layer and 50 neurons in second hid-445 den layer. The mean error obtained on reference database 446 is 0.86 and on test database is 0.14. Comparison of first 447 four realizations (R1-R4) is shown in Fig. 9. The window 448



Fig. 9. Mean localization error for different network realizations.

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Fig. 10. Multiple localizer: Y(i), g(i), LE(i) for i = 1, ..., 5 are expert's outputs, the associated weights and localization error, respectively. Y is the output of the ensemble network and LE is the associated localization error. We can see that the localization error of ensemble network is less than that of experts.

sizes, overlapping and number of neurons in hidden layers 449 are different for each realization. 450

Network realizations R1 and R4 have the same first 451 laver but R4 contains more neurons in second hidden laver 452 than R1 and generalize a little better. We will use R4 in the 453 following experiments and summarize now its perfor-454 mance. The mean error is 0.08 on the training set and 455 0.15 on the test set, 60% examples have a localization error 456 lower than 0.1 and the system can perform 110 images/s. 457

Multiple localizers. We trained five single-orientation 458 specialized SDNN on each image subset. We also trained 459 460 a gating network with 80 hidden cells. Fig. 10 shows the combination process of multiple localizer on one example. 461 Each expert produces its hypothesis Y(i) and gating net-462 work generates associated weights g(i), we can see that 463 the localization error of each expert is greater than that 464

of the final output (Y). Note that the expert # 3 has been weighted heavily because of its closeness to desired output.

Fig. 11 shows localization error distribution. The mean 467 error is 0.05 on the training set and 0.12 on the test set, 65%468 of the examples have approximately 0.1 localization error. 469 The overall multi-network system with gating network can 470 perform 11 images/s. 471

#### 5.2.3. Sensitivity to noise and occlusion

Finally, we wanted to evaluate the multiple SDNN localizer robustness against noise and occlusions.

*Noise test.* First, we synthesized images by adding white 475 gaussian noise on all the images in test database. The signal 476 to noise ratio varied from 0 dB to 20 dB. As can be seen 477 (Fig. 12), the system is quite insensitive to gaussian noise 478 due to its convolutional filters. 479



Fig. 12. SDNN multiple localizer: Noise Test.

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Fig. 13. SDNN multiple localizer: Occlusion test: localization error versus occlusion ratio, for bottom to top (solid) and for top to bottom (dotted).

Occlusion test. In real life, face occlusions are quite com-480 481 mon. So we need to test the localizer on occluded images. For this test, synthetic images are formed by masking 482 10-80% of the face region. Images are occluded in two dif-483 ferent manners: from Bottom to Top (mouth is occluded 484 first) and from Top to Bottom (Eyes are occluded first). 485 The localization error is directly proportional to occlusion 486 487 percentage (Fig. 13). There is a small change in localization error when images are 10-20% occluded and it increases 488 rapidly as occlusion percentage reaches 40%. An occlusion 489 of 40% hides the mouth/eyes region in the face and thus 490 hinders the network to extract the corresponding features. 491 492 Neural networks are known to generate a mean output when outlier occurs. In this case, occlusion can be consid-493 ered as an outlier thus, the network outputs the mean. 494 Comparing the two occlusion tests discussed above, we 495 can note that localization error is more sensitive to occlu-496 sion from top than from bottom. An occlusion of 40% 497 results in a localization error of 33% when occluded from 498 top while localization error is 28% when occluded from 499 bottom. Thus in general we can say that detection of eyes 500 is more stable than that of mouth as mouth undergo differ-501 502 ent expression and occlusion makes it nearly impossible to 503 correctly detect the mouth corners.

#### 504 5.3. Cascade system

Finally, the cascade system of Section 4.4 is imple-505 506 mented using single, multiple localizers, validation step and local feature analyzer and corrector. In first experi-507 508 ment, the simple cascade system i.e. single, multiple localiz-509 ers and validation step is formed. This combination gives 12.1% mean localization error on the test database. The 510 system rejection rate is 3% i.e. when level 1 and 2 detectors 511 fails to localize the features and validation procedure 512



Fig. 14. Localization results on some test images of LISIF database. The normalized error is indicated bellow.

declares a poor localization. The missed detection rate, i.e. validation procedure declares a poor localization when it is not true, is only 0.6%.

In second experiment, local feature analyzer and corrector is employed as level 3 detector along with single, multiple localizers, validation step. The input to local feature analyzer and corrector is an image  $9 \times 9$  around a certain facial feature and the desired output is an image  $9 \times 9$  containing the exact feature location as one bright point. This configuration gives a mean localization error of 11.9% on test database and can perform 40 images/s. The contribution made by "local feature analyzer and correctors" has a little effect (a gain of 0.2%) on localization error. However, the "cascade system with local feature analyzer and corrector" performs better than single localizer that gives 15.6% mean localization error.

The information combination outperforms the single localizer. We can summarize the cascade results on the test set as follows: 65% examples have localization error lower

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Fig. 15. Localization results on some test images of ECU database. The normalized error is indicated bellow.

than 0.1. For single network, only 60% examples had a 532 localization error lower than 0.1%. Finally, we present 533 some localization results on test images (Fig. 14): frontal 534 faces (1st line), left-sided and right-sided faces (2nd line), 535 upward and downward faces (3rd line), and tilted faces 536 (4th line). Examples of localizer sensitivities to glasses 537 538 (5th line), scale (6th line) and partial occlusions (7th line) are shown. The association procedure makes the system 539 less sensitive to partial occlusions and noise: e.g. if one fea-540 ture is not visible, its position is inferred by the positions of 541 other visible features. Two localization errors are presented 542 (7th line). Note that, in both cases, an accurate localization 543 hypothesis was found but the combination method failed 544 545 to select it.

#### 546 5.4. ECU database

Opposite to LISIF database, this database contains gen-547 eral scenarios for facial feature localization. In particular, 548 549 the number of persons (except some "starts" personalities) in this database is nearly equal to the number of images. As 550 stated earlier, we extracted the face-images using ground 551 truth (rectangle around face) and using mirror images, we 552 constructed a face database of more than 7000 images with 553 different resolutions. As this database does not contain var-554 ious orientations, we decided to use simple localizer 555 (Hybrid auto-associative network and SDNN), i.e. one net-556 work for all orientations. We divided the database into two 557 equal halves, each containing more than 3500 face-images. 558 One half serves as a training database and other half is used 559 for evaluation (test database). The best network, obtained 560 after rigorous experimentation, for hybrid auto-associative 561 network has (900 inputs, 60 hidden cells and 400 outputs) 562 while for SDNN has (X = 6, Y = 6, dX = 2, dY = 2,563  $N_{\rm H} = 50, 400$  outputs). Both networks were trained for 564 10,000 epochs. The mean localization error obtained with 565 hybrid auto-associative network is 0.10 on training data-566 base and 0.15 on test database, while we obtained 0.11 567 on training database and 0.14 on test database using 568

SDNN. With these results, we can see that generalization569of SDNN is better than auto-associative network when570number of examples in training database increases. More-571over, the problem of sensitivity to persons identity has van-572ished. Some localization results are shown in Fig. 15.573

#### 6. Conclusions

We have presented a novel algorithm for the detection 575 of facial features in a pre-focused face image. It is based 576 on a particular neural network trained to associate a fea-577 ture map with a face image. We studied thoroughly the sin-578 gle, orientation-free localizer and show that its accuracy 579 increases with the number of features to detect. We pro-580 posed an alternate method where several specialized net-581 works were trained to deal with specific face pose. The 582 best localization hypothesis is then formed by combining 583 all the network outputs with a gating network. This multi-584 ple localizer is more accurate than the orientation-free 585 localizer: the mean normalized error decreases from 586 15.6% to 11.9% and the system performs more than 40 587 images/s. 588

We have shown that with a large training database, the system is less susceptible to person identity and its generalization increases. Currently, we are working on actual system with three step: face detection localization (Belaroussi et al., 2006), facial feature localization, detail facial feature analysis (local localizers). 594

## 7. Uncited references 595

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