



## Brief paper

Control of VTOL vehicles with thrust-tilting augmentation<sup>☆</sup>Minh-Duc Hua<sup>a,1</sup>, Tarek Hamel<sup>b</sup>, Pascal Morin<sup>a</sup>, Claude Samson<sup>c,b</sup><sup>a</sup> ISIR UPMC-CNRS, Paris, France<sup>b</sup> I3S UNSA-CRNS, Sophia-Antipolis, France<sup>c</sup> INRIA, France

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## ABSTRACT

An approach to the control of a VTOL vehicle equipped with complementary thrust-tilting capabilities that nominally yield full actuation of the vehicle's position and attitude is developed. The particularity and difficulty of the control problem are epitomized by the existence of a maximum tilting angle which forbids complete and decoupled control of the vehicle's position and attitude in all situations. This problem is here addressed via the formalism of primary and secondary objectives and by extending a solution previously derived in the fixed thrust-direction case. The proposed control design is also illustrated by simulation results involving a quadrotor UAV with all propeller axes pointing in the same monitored tilted direction.

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## 1. Introduction

A mechanical design and feedback control of small aerial vehicles possessing thrust vectoring capabilities have received an increasing interest in recent years and given rise to various declinations (Cetinsoy et al., 2012; Kendoul, Fantoni, & Lozano, 2005; Long & Cappelleri, 2013; Muraoka, Okada, & Kubo, 2009; Naldi, Marconi, & Sala, 2008; Notarstefano & Hauser, 2010; Papachristos, Alexis, & Tzes, 2011; Pflimlin, Binetti, Souères, Hamel, & Trouchet, 2010; Russo, Notarstefano, & Hauser, 2011; Ryll, Bulthoff, & Giordano, 2012). For instance, the concepts of twin tilt-rotors (Kendoul et al., 2005; Papachristos et al., 2011), tilt-wing UAVs (Cetinsoy et al., 2012; Muraoka et al., 2009), and quadrotor UAVs with two orthogonal tilting axes (Ryll et al., 2012) are worth mentioning. Thrust vectoring for an aerial vehicle is the ability to modify the direction of the propulsion thrust with respect to (w.r.t.) a body-fixed frame.

This feature can be used either for attitude (i.e. orientation) control, when the thrust rotation center is located at some distance of the vehicle's center of mass (CoM) with thrust vectoring yielding torque creation, as in the case of rocket nozzle tilting or ducted-fan airflow derivation via the use of rotating surfaces (Naldi et al., 2008; Pflimlin et al., 2010), or for attitude/position control decoupling, when the thrust rotation center is near the CoM and complementary actuation for attitude control is available, as in the case of V/STOL aircraft whose fuselage orientation is controlled independently of the vehicle's longitudinal motion (Notarstefano & Hauser, 2010; Russo et al., 2011). In fact, thrust vectoring can also be used to achieve a combination of the aforementioned objectives. This multiple usage renders the term *thrust-vectoring* somewhat imprecise. We use here the term *thrust tilting* in reference to the second possibility, i.e. attitude and longitudinal motion control decoupling. In this case, thrust-direction tilting involves two actuated degrees of freedom (d.o.f.) which complement the conventional four actuated d.o.f. associated with common aerial vehicles, namely thrust intensity plus three torque components necessary for complete attitude control. This yields six independent actuated d.o.f. that allow for the complete control of the six-dimensional state. A similar objective is addressed in Ryll et al. (2012) where the vehicle under consideration is a quadrotor UAV, whose propeller axes rotate two by two about one of the two orthogonal axes of the quadrotor. The control design proposed in Ryll et al. (2012) basically relies on exact linearization of the vehicle's motion equations. This control strategy, combined with actuation redundancy, in turn leads to a control calculation based on the use of pseudo-inverse matrices and on solving a complementary optimization problem (e.g., energy

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expenditure minimization). However, thrust-tilting angle limitations are not taken into account in that study.

With respect to Ryll et al. (2012), we here address thrust tilting in the form of a generic problem whose solution potentially applies to a large panel of aerial vehicles with extended flight envelopes. Such a claim of generality imposes to take aerodynamic forces acting on the vehicle into account. For control design purposes, simplified models of these forces are used. The proposed (nonlinear) control design is also different from that of Ryll et al. (2012). It is an extension of the one presented in Hua, Hamel, Morin, and Samson (2009, 2013) for reference velocity or position tracking in the case where the thrust direction is fixed, and it is based on a Lyapunov-like approach. An important original outcome of the present study is that vectoring limitations are taken into account explicitly. More precisely, it is assumed that the thrust-tilting angle w.r.t. a “neutral” direction, corresponding for instance to the one associated with the fixed direction of a conventional quadrotor UAV, cannot exceed a known threshold. Due to this limitation, independent control of the vehicle’s attitude and position is no longer always possible. This leads naturally to set priorities between complementary control objectives. Accordingly, the proposed control methodology involves a *primary* objective associated with the reference velocity or position asymptotic stabilization, and a *secondary* objective associated with the asymptotic stabilization of a reference orientation for a body-fixed frame. Beside provable stability and convergence properties in a large domain of operation, we believe that the conceptual simplicity of the solution, the non-requirement of switching between several control laws, and the ability to monitor smoothly transition phases constitute to valuable complementary assets. Its geometric nature shows through its construction in the framework of affine geometry and its expression, mostly coordinates-free, also distinguishes it from linear and other nonlinear control methods employed in the domains of aeronautics and aerial robotics.

A primary version of this work has been presented at a conference (Hua, Hamel, Morin, & Samson, 2014).

## 2. Preliminary material

### 2.1. Notation

- $\mathbf{E}^3$  denotes the three-dimensional Euclidean vector space. Vectors in  $\mathbf{E}^3$  are denoted with bold letters. Inner and cross products in  $\mathbf{E}^3$  are denoted by the symbols  $\cdot$  and  $\times$ , respectively. The  $i$ th component of  $x \in \mathbb{R}^n$  is denoted as  $x_i$ . Given  $x = (x_1, x_2, x_3)^T \in \mathbb{R}^3$ , for the sake of conciseness  $(x_1\mathbf{i} + x_2\mathbf{j} + x_3\mathbf{k})$  is written as  $(\mathbf{i}, \mathbf{j}, \mathbf{k})x$ , and  $x_{1,2}$  denotes the vector of first two components of  $x$ .
- $\mathcal{I} = \{O; \mathbf{i}_0, \mathbf{j}_0, \mathbf{k}_0\}$  and  $\mathcal{B} = \{G; \mathbf{i}, \mathbf{j}, \mathbf{k}\}$ , with  $G$  the vehicle’s center of mass (CoM), denote the inertial frame and body-fixed frame, respectively.
- $\mathbf{T} = -T\mathbf{u}$  denotes the thrust force, with the minus sign arising from a convention used for VTOL vehicles and  $\mathbf{u}$  the unit vector of the thrust axis.
- $\mathbf{u}$  and  $\dot{\mathbf{u}}$  denote the vector of coordinates, on the basis of  $\mathcal{B}$ , of  $\mathbf{u}$  and  $\frac{d}{dt}\mathbf{u}|_{\mathcal{B}}$ , respectively, i.e.  $\mathbf{u} = (\mathbf{i}, \mathbf{j}, \mathbf{k})\mathbf{u}$  and  $\frac{d}{dt}\mathbf{u}|_{\mathcal{B}} = (\mathbf{i}, \mathbf{j}, \mathbf{k})\dot{\mathbf{u}}$ .
- $\boldsymbol{\omega}_{\mathcal{I}}^{\mathbf{u}} = \mathbf{u} \times \frac{d}{dt}\mathbf{u}|_{\mathcal{I}}$  and  $\boldsymbol{\omega}_{\mathcal{B}}^{\mathbf{u}} = \mathbf{u} \times \frac{d}{dt}\mathbf{u}|_{\mathcal{B}}$  denote the angular velocity of  $\mathbf{u}$  w.r.t. the inertial frame and the body-fixed frame, respectively.
- $\boldsymbol{\omega} = (\mathbf{i}, \mathbf{j}, \mathbf{k})\boldsymbol{\omega}$  is the angular velocity of the body-fixed frame w.r.t. the inertial frame.
- $\mathbf{p}, \mathbf{v}$  and  $\mathbf{a}$  denote the CoM’s position, translational velocity and acceleration w.r.t. the inertial frame, respectively. Similar notation is also used with the subscript “r” (i.e.  $\mathbf{p}_r, \mathbf{v}_r$  and  $\mathbf{a}_r$ ) to denote reference trajectories.
- $\tilde{\mathbf{p}} = (\mathbf{i}_0, \mathbf{j}_0, \mathbf{k}_0)\tilde{\mathbf{p}} := \mathbf{p} - \mathbf{p}_r$  and  $\tilde{\mathbf{v}} = (\mathbf{i}_0, \mathbf{j}_0, \mathbf{k}_0)\tilde{\mathbf{v}} := \mathbf{v} - \mathbf{v}_r$  denote the position and velocity tracking errors.

- $m$  denotes the vehicle’s mass,  $\mathbf{g}$  the gravitational acceleration, and  $\mathbf{F}^a$  the resultant of aerodynamic forces acting on the vehicle.
- Either  $\dot{\boldsymbol{\xi}}$  or  $\frac{d}{dt}\boldsymbol{\xi}|_{\mathcal{I}}$  is used for the time-derivative of  $\boldsymbol{\xi} \in \mathbf{E}^3$  relatively to the inertial frame.

### 2.2. Modeling simplifications and motion equations

The actuation inputs used to control the vehicle consist of (i) a propulsion thrust  $\mathbf{T}$  whose direction  $\mathbf{u}$  w.r.t. the vehicle’s main body can be tilted, (ii) a torque  $\boldsymbol{\Gamma}$ , independent of the thrust-tilting actuation, used to modify the body’s angular velocity  $\boldsymbol{\omega}$  at will, and (iii) torques needed to change the thrust direction. Nominally the resultant thrust force passes through the CoM and thus exerts zero torque. Tilting the thrust direction may create a parasitic torque  $\boldsymbol{\Gamma}_T$ . The control torque  $\boldsymbol{\Gamma}$  has to pre-compensate for this parasitic torque and also ensure that (almost) any desired angular velocity is physically obtained rapidly. This leads to consider the angular velocity  $\boldsymbol{\omega}$  as an intermediary control input, with the conceptually important advantage of not having to take into account the specificities of the physical torque actuation at this stage of the control design. This justifies the conceptual “backstepping” assumption. We also assume that the thrust intensity  $T$  and the thrust-direction tilting angular velocity  $\boldsymbol{\omega}_{\mathcal{B}}^{\mathbf{u}}$  are the other control inputs at our disposal.

The equations characterizing the system’s dynamics are

$$m\mathbf{a} = m\mathbf{g} + \mathbf{F}^a - T\mathbf{u} \quad (1)$$

$$\frac{d}{dt}\{\mathbf{i}, \mathbf{j}, \mathbf{k}\}|_{\mathcal{I}} = \boldsymbol{\omega} \times \{\mathbf{i}, \mathbf{j}, \mathbf{k}\} \quad (2)$$

$$\frac{d}{dt}\mathbf{u}|_{\mathcal{B}} = \boldsymbol{\omega}_{\mathcal{B}}^{\mathbf{u}} \times \mathbf{u} \quad (3)$$

with  $\mathbf{F}^a$  representing all forces, other than thrust and gravitation, applied to the vehicle’s body. This vector is typically dominated by lift and drag aerodynamic forces whose intensities depend on the vehicle’s longitudinal velocity (relatively to the air) and on the vehicle’s attitude when lift is not negligible. For the sake of simplification, and because the focus of the present paper is not to discuss control design aspects specifically related to the aerodynamic forces acting on the vehicle, we here assume that  $\mathbf{F}^a$  can be decomposed into the sum of two components as follows

$$\mathbf{F}^a = \mathbf{F}_1^a + \mathbf{F}_2^a \quad (4)$$

with  $\mathbf{F}_2^a = F_2^a\mathbf{u}$  ( $F_2^a \in \mathbb{R}$ ) and  $\mathbf{F}_1^a$  a vector which, *ideally*, does not depend on the vehicle’s orientation. For instance, in the case of a quadrotor UAV with tilted thrust direction, as considered in the simulation Section 4,  $\mathbf{F}^a$  involves a body-drag force  $\mathbf{F}_D^a$  and an induced-drag force  $\mathbf{F}_I^a$  generated by the airflow circulation around the rotors blades. Expressions of these forces are (Mahony, Kumar, & Corke, 2012; Martin & Salaun, 2010):

$$\mathbf{F}_D^a = -c_D|\mathbf{v}^a|\mathbf{v}^a, \quad \mathbf{F}_I^a = -c_I\sqrt{T}(\mathbf{v}^a - (\mathbf{v}^a \cdot \mathbf{u})\mathbf{u})$$

with  $\mathbf{v}^a := \mathbf{v} - \mathbf{v}_w$  denoting the apparent air velocity, i.e. the vehicle’s velocity minus the ambient air velocity  $\mathbf{v}_w$ , and  $c_D$  and  $c_I$  two aerodynamic coefficients. Summing up these two forces yields

$$\mathbf{F}^a = \underbrace{-c_D|\mathbf{v}^a|\mathbf{v}^a - c_I\sqrt{T}\mathbf{v}^a}_{=: \mathbf{F}_1^a} + \underbrace{c_I\sqrt{T}(\mathbf{v}^a \cdot \mathbf{u})\mathbf{u}}_{=: \mathbf{F}_2^a}.$$

The direction of the drag component  $\mathbf{F}_1^a$  is, by definition, aligned with the air velocity so that it does not depend on the vehicle’s orientation. However, its amplitude depends on this orientation in the general case where the coefficients  $c_D$  and  $c_I\sqrt{T}$  themselves vary with the vehicle’s orientation. Nevertheless, there are flight domains where these coefficients can be considered as almost

constant. For instance, in the absence of wind, for small vehicle's translational velocities the drag force is dominated by the air-velocity linearly dependent term  $-c_l \sqrt{T} \mathbf{v}^a$ . Then, provided that the vehicle's translational acceleration intensity stays smaller than a certain threshold, the thrust  $T$  essentially compensates for the vehicle's weight  $mg$  so that the drag force can, in this domain, be approximated by the orientation independent term  $-c_l \sqrt{mg} \mathbf{v}^a$ . For the simulations of Section 4, this approximation is made at the control design level, whereas the “true” drag term  $-c_l \sqrt{T} \mathbf{v}^a$ , with the control thrust  $T$  depending on the vehicle's orientation, is used to calculate the vehicle's motion.

In view of (4), Eq. (1) can be rewritten as

$$m\mathbf{a} = m\mathbf{g} + \mathbf{F}_1^a - \bar{T}\mathbf{u} \quad (5)$$

$$\text{with } \bar{T} := T - F_2^a \quad (6)$$

and an external force  $\mathbf{F}_1^a$  which – in a certain flight domain and in the first approximation, as explained previously – does not depend on the vehicle's orientation.

### 3. Control design

We have previously justified the use of  $\bar{T}$ , defined by (6),  $\boldsymbol{\omega}$  and  $\boldsymbol{\omega}_{\mathcal{B}}^u$  as control inputs. This section aims at working out feedback control expressions for these inputs.

#### 3.1. Primary objective realization

Using the well-known relations

$$\begin{aligned} \frac{d}{dt} \mathbf{x}_{|I} &= \frac{d}{dt} \mathbf{x}_{|B} + \boldsymbol{\omega} \times \mathbf{x} \\ \mathbf{x} \times (\mathbf{y} \times \mathbf{z}) &= (\mathbf{x} \cdot \mathbf{z})\mathbf{y} - (\mathbf{x} \cdot \mathbf{y})\mathbf{z} \end{aligned}$$

for any triplet  $(\mathbf{x}, \mathbf{y}, \mathbf{z}) \in \mathbf{E}^3$ , and using the definitions of  $\boldsymbol{\omega}$ ,  $\boldsymbol{\omega}_I^u$ , and  $\boldsymbol{\omega}_{\mathcal{B}}^u$ , it is simple to show that

$$\frac{d}{dt} \mathbf{u}_{|I} = \boldsymbol{\omega}_I^u \times \mathbf{u} \quad (7)$$

$$\boldsymbol{\omega}_I^u = \boldsymbol{\omega}_{\mathcal{B}}^u + \boldsymbol{\omega} - (\boldsymbol{\omega} \cdot \mathbf{u})\mathbf{u}. \quad (8)$$

Typically  $\bar{T}$  and  $\boldsymbol{\omega}_I^u$  are determined to achieve a primary objective related to the vehicle's longitudinal motion.

One deduces from (5) the velocity error equation:

$$m\dot{\tilde{\mathbf{v}}} = m(\mathbf{a} - \mathbf{a}_r) = -\bar{T}\mathbf{u} + \mathbf{F} \quad (9)$$

$$\text{with } \mathbf{F} := m\mathbf{g} + \mathbf{F}_1^a - m\mathbf{a}_r. \quad (10)$$

Define  $(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}})$  as a “generalized” error vector. The precise definition of  $\tilde{\boldsymbol{\rho}}$  depends on the primary control objective. It can be equal to  $\tilde{\mathbf{p}}$ , if the objective is reference position tracking, or it can be an integral of the velocity error  $\tilde{\mathbf{v}}$ , if the control objective is reference velocity tracking and an integral correction term is needed to improve the control performance. In the case of reference position tracking, it may also be useful to introduce an integral correction. Then,  $\tilde{\boldsymbol{\rho}} = (I_p, \tilde{\mathbf{p}})$ , with  $I_p$  denoting a saturated integral of the position tracking error. The simplest case corresponds to pure velocity control without integral correction, for which  $\tilde{\boldsymbol{\rho}} = \emptyset$ .

Define  $\xi(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}})$  as an intermediary feedback control input that ensures the global asymptotic stability (GAS) and local exponential stability (LES) of the origin  $(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}}) = (\mathbf{0}, \mathbf{0})$  of the nominal system:

$$\dot{\tilde{\boldsymbol{\rho}}} = \mathbf{f}(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}}) \quad (11a)$$

$$\dot{\tilde{\mathbf{v}}} = \xi(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}}). \quad (11b)$$

An example of a function  $\xi(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}})$  is given hereafter in Remark 2. Now, define

$$\mathbf{F}_{\xi} := \mathbf{F} - m\xi(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}}). \quad (12)$$

Then, in view of (9), relation (11b) can be satisfied iff  $\bar{T}\mathbf{u} = \mathbf{F}_{\xi}$ . The following proposition provides a “generalized” result for the control approach proposed in Hua et al. (2009, 2013).

**Proposition 1.** Assume that the force  $\mathbf{F}$  of relation (10) does not vanish along the reference trajectory  $\mathbf{v}_r$  (i.e.,  $\exists \delta > 0 : \delta \leq |\mathbf{F}(\mathbf{v}_r^a(t), \mathbf{a}_r(t))|$ ,  $\forall t$ , with  $\mathbf{v}_r^a := \mathbf{v}_r - \mathbf{v}_w$ ). Define  $(\bar{T}_r, \mathbf{u}_r) := (|\mathbf{F}_{\xi}|, \mathbf{F}_{\xi}/|\mathbf{F}_{\xi}|)$  and apply to the system (11a)–(9)–(7) the control law:

$$\bar{T} = \bar{T}_r \quad (\text{or } \bar{T} = \bar{T}_r \mathbf{u} \cdot \mathbf{u}_r) \quad (13a)$$

$$\boldsymbol{\omega}_I^u = \left( \kappa(\mathbf{u}, t) + \frac{\dot{\gamma}}{\gamma} \right) \mathbf{u} \times \mathbf{u}_r + (\boldsymbol{\omega}_I^{u_r} - (\boldsymbol{\omega}_I^{u_r} \cdot \mathbf{u})\mathbf{u}) \quad (13b)$$

with  $\boldsymbol{\omega}_I^{u_r} := \mathbf{u}_r \times \frac{d}{dt} \mathbf{u}_{r|I}$  the instantaneous angular velocity of  $\mathbf{F}_{\xi}$ ,  $\gamma := \sqrt{c + |\bar{\mathbf{F}}_{\xi}|^2}$  with  $c$  any strictly positive constant, and  $\kappa(\cdot)$  any continuous positive real-valued function such that  $\inf_{\mathbf{u}, t} \kappa(\mathbf{u}, t) > 0$ .

Then, the equilibrium  $(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}}, \mathbf{u}) = (\mathbf{0}, \mathbf{0}, \mathbf{u}_r)$  is locally asymptotically stable. Moreover, all closed-loop solutions such that  $\mathbf{u} \neq -\mathbf{u}_r$  initially and along which  $\mathbf{F}_{\xi}$  does not vanish (i.e.,  $|\mathbf{F}_{\xi}(t)| \geq \delta_{\xi} > 0$ ,  $\forall t$ ) converge to  $(\mathbf{0}, \mathbf{0}, \mathbf{u}_r)$ .

The proof is the same as the proofs of Propositions 4 and 5 in Pucci, Hamel, Morin, and Samson (2014) complemented with Proposition 1 in Arcak, Angeli, and Sontag (2002), which establishes that the origin of System (11) remains GAS when this system is perturbed by an additive term that is exponentially vanishing and uniformly bounded w.r.t. initial conditions.

**Remark 1.** The assumption in Proposition 1 is required for the desired thrust direction  $\mathbf{u}_r$  to be well defined along the reference trajectory. It also guarantees the controllability of the linearized error system and the existence of conventional, either linear or nonlinear, control solutions (Hua et al., 2009, 2013). However, it does not guarantee non-zero crossing of  $\mathbf{F}_{\xi}$  for all initial conditions and hence it does not ensure that  $\mathbf{u}_r$  is always well defined. This also explains why only local stability is established. Preventing  $\mathbf{F}_{\xi}$  from crossing zero depends on the nature of the aerodynamic term  $\mathbf{F}_1^a$ . For instance, if  $\mathbf{F}_1^a \equiv \mathbf{0}$ , as often assumed in the literature addressing the hovering case, it suffices to ensure that  $|\xi(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}})| < \min(|\mathbf{g} - \mathbf{a}_r|)$ . In this case almost-global stability can be stated. The reader is invited to consult (Hua et al., 2009) for possible ways to modify the control law when  $\mathbf{F}_{\xi}$  gets near zero and also for control regularization when this term temporarily vanishes.

**Remark 2.** Proposition 1 provides a unifying control design framework for different primary objectives. Consider, for instance, the problem of tracking a reference position trajectory with a controller including a saturated integral  $I_p$  of the position error, in order to compensate for static modeling errors. An example of such an integral term is obtained as the (numerical) solution to the following equation (Hua & Samson, 2011):

$$\begin{cases} I_p = (\mathbf{1}_0, \mathbf{J}_0, \mathbf{k}_0) I_p \\ \dot{I}_p = -k_{dl} \dot{I}_p + \sigma \frac{\dot{I}_p, \max}{2} \left( k_{pl} \left( -I_p + \sigma^{\Delta_p} \left( I_p + \frac{\tilde{\mathbf{p}}}{k_{pl}} \right) \right) \right) \end{cases} \quad (14)$$

with zero initial conditions, i.e.  $I_p(0) = \dot{I}_p(0) = 0$ , positive numbers  $k_{pl}$ ,  $k_{dl}$ ,  $\dot{I}_p, \max$  and  $\Delta_p$ , and  $\sigma^{\Delta}(x) \in \mathbb{R}^3$ ,  $\forall x \in \mathbb{R}^3$  denoting a twice-differentiable approximation function of the classical saturation function  $\text{sat}^{\Delta}(x) := \min(1, \Delta/|x|)x$ . Then,  $\tilde{\boldsymbol{\rho}} = (I_p, I_p, \tilde{\mathbf{p}})$ . An exponential stabilizer of the origin of System (11) is the following nonlinear “PID” controller, used for simulations in Section 4:

$$\begin{aligned} \xi(\tilde{\boldsymbol{\rho}}, \tilde{\mathbf{v}}) &= (\mathbf{1}_0, \mathbf{J}_0, \mathbf{k}_0) \left( -k_p \sigma^{\Delta_p} (\tilde{\mathbf{p}} + k_i I_p) \right. \\ &\quad \left. - k_d \sigma^{\Delta_v} (\tilde{\mathbf{v}} + k_i \dot{I}_p) - k_i \dot{I}_p \right) \end{aligned} \quad (15)$$

with  $k_p, k_i, k_d, \Delta_p, \Delta_v$  positive constants. Indeed, applying this controller to System (11) yields

$$\ddot{\tilde{p}} + k_d \sigma^{\Delta_v}(\dot{\tilde{p}}) + k_p \sigma^{\Delta_p}(\tilde{p}) = 0; \quad \bar{p} := \tilde{p} + k_i I_p.$$

Using the Lyapunov function  $\mathcal{V}(\tilde{p}, \dot{\tilde{p}}) := \frac{1}{2}|\dot{\tilde{p}}|^2 + k_p \int_0^{|\tilde{p}|} h(s) ds$ , where the function  $h(\cdot) \in \mathbb{R}$  satisfies  $h(|x|) \frac{x}{|x|} = \sigma^{\Delta_p}(x), \forall x \in \mathbb{R}^3$ , and the time-derivative of  $\mathcal{V}$  verifies  $\dot{\mathcal{V}} = -k_d \dot{\tilde{p}} \cdot \sigma^{\Delta_v}(\dot{\tilde{p}}) \leq 0$ , one shows that the origin of this system is LES and GAS. Finally, one shows that the convergence of  $(\tilde{p}, \dot{\tilde{p}})$  to zero also ensures the convergence of  $(\tilde{p}, \tilde{v})$  to zero (see, e.g., Hua et al., 2009 and Hua & Samson, 2011 for details).

### 3.2. Secondary objective realization

The thrust intensity  $T$  (or equivalently  $\bar{T}$  via (6)) has been determined previously. It remains to determine  $\omega$  and  $\omega_{\mathcal{B}}^u$  that satisfy (8) with  $\omega_J^u$  given by (13b), as imposed by the realization of the primary objective, and also allow for the realization of a secondary objective.

Let  $\omega^*$  denote the angular velocity control that would be used for the secondary control objective if the thrust-tilting angle was not limited. For instance, this objective can be the asymptotic stabilization of the body-fixed frame vector  $\mathbf{k}$  at a reference time-varying unit vector  $\mathbf{k}_r$ . Then, a possible control, whose expression is obtained in the same way as the control  $\omega_J^u$  in (13b) by rendering the time-derivative of the Lyapunov function candidate  $\frac{1-\mathbf{k} \cdot \mathbf{k}_r}{1+\mathbf{k} \cdot \mathbf{k}_r}$  negative when  $\mathbf{k} \neq \mathbf{k}_r$ , is

$$\omega^* = \omega_J^{k_r} + \kappa_\omega \mathbf{k} \times \mathbf{k}_r + \lambda \mathbf{k} \quad (16)$$

with  $\omega_J^{k_r} := \mathbf{k}_r \times \frac{d}{dt} \mathbf{k}_{r|I}$  the instantaneous angular velocity of  $\mathbf{k}_r$  w.r.t. the inertial frame,  $\kappa_\omega > 0$ , and  $\lambda \in \mathbb{R}$  any real-valued function. The indetermination of  $\lambda$  corresponds to the unused d.o.f associated with rotations about the  $\mathbf{k}$  direction. If the secondary objective is to asymptotically stabilize a reference orientation for the vehicle's body, then a possible control is

$$\omega^* = \omega_r - \kappa_\omega \tan(\theta/2) \mathbf{v}; \quad \kappa_\omega > 0 \quad (17)$$

with  $\theta \mathbf{v}$  the rotation vector associated with the orientation error between the body-fixed frame and the reference orientation, and  $\omega_r$  the reference angular velocity associated with the reference orientation.

Let us define

$$\omega_{\mathcal{B}}^{u*} := \omega_J^u - (\omega^* - (\omega^* \cdot \mathbf{u})\mathbf{u}). \quad (18)$$

Since  $\omega_{\mathcal{B}}^{u*}$  satisfies (8) when  $\omega$  is equal to the unconstrained solution  $\omega^*$ , it is the thrust-tilting angular velocity that would be used in the unconstrained case to achieve both primary and secondary objectives. However, due to the thrust-tilting angle limitation, modified expressions for  $\omega$  and  $\omega_{\mathcal{B}}^u$  have to be worked out. To this aim, let us first specify the maximum value of the thrust-tilting angle and set

$$\delta = \max \left( \sqrt{u_1^2 + u_2^2} \right) (< 1) \quad (19)$$

so that the maximum tilting angle is  $\arcsin(\delta) \in [0, \frac{\pi}{2})$ .

Define

$$\boldsymbol{\mu} := \omega_{\mathcal{B}}^{u*} \times \mathbf{u} \quad (20)$$

and denote  $\boldsymbol{\mu}$  as the vector of coordinates of  $\boldsymbol{\mu}$  on the basis of the body-fixed frame, i.e.  $\boldsymbol{\mu} = \{\mathbf{i}, \mathbf{j}, \mathbf{k}\} \boldsymbol{\mu}$ . The tilting angle is modified according to the following control law:

$$\dot{u}_{1,2} = -k_u u_{1,2} + k_u \text{sat}^\delta(u_{1,2} + \mu_{1,2}/k_u) \quad (21)$$

with initial conditions satisfying  $|u_{1,2}(0)| \leq \delta$ ,  $k_u$  a positive number (not necessarily constant).

**Theorem 1.** Apply to the system (11a)–(9)–(2)–(3) the control law

$$\bar{T} = \bar{T}_r \quad (\text{or } \bar{T} = \bar{T}_r \mathbf{u} \cdot \mathbf{u}_r) \quad (22a)$$

$$\omega_{\mathcal{B}}^u = \mathbf{u} \times \frac{d}{dt} \mathbf{u}_{|B} \quad (22b)$$

$$\omega = \omega_J^u - \omega_{\mathcal{B}}^u + (\omega^* \cdot \mathbf{u})\mathbf{u} \quad (22c)$$

with  $\omega_J^u$  given by (13b),  $\frac{d}{dt} \mathbf{u}_{|B} = \{\mathbf{i}, \mathbf{j}, \mathbf{k}\} \dot{\mathbf{u}}$ ,  $\dot{u}_{1,2}$  given by (21) and  $\dot{u}_3 = -\frac{u_{1,2}^T \dot{u}_{1,2}}{u_3} = -\frac{u_{1,2}^T \dot{u}_{1,2}}{\sqrt{1-u_1^2-u_2^2}}$ , using the fact that  $\mathbf{u}$  is a unit vector.

Then, provided that the assumption in Proposition 1 is satisfied, the following properties hold:

- (1) The primary objective is realized, as specified in Proposition 1.
- (2) The thrust-tilting angle remains smaller or equal to the maximum tilting angle, i.e.  $|u_{1,2}(t)| \leq \delta, \forall t$ .
- (3) When  $|u_{1,2} + \mu_{1,2}/k_u| \leq \delta$ , one has  $\omega_{\mathcal{B}}^u = \omega_{\mathcal{B}}^{u*}$  and  $\omega = \omega^*$ , which implies the realization of the secondary objective.

**Proof.** In view of (21),

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} |u_{1,2}|^2 &= -k_u |u_{1,2}|^2 + k_u u_{1,2}^T \text{sat}^\delta(u_{1,2} + \mu_{1,2}/k_u) \\ &\leq -k_u |u_{1,2}|^2 + k_u \delta |u_{1,2}| \end{aligned}$$

and the latter inequality implies that  $|u_{1,2}|$  remains smaller or equal to  $\delta$ , provided that the initial value of  $|u_{1,2}|$  is itself chosen smaller or equal to  $\delta$ .

One easily verifies from (21) that  $\dot{u} = \boldsymbol{\mu}$ , i.e.  $\frac{d}{dt} \mathbf{u}_{|B} = \boldsymbol{\mu}$ , when  $|u_{1,2} + \mu_{1,2}/k_u| \leq \delta$ , which in turn implies that  $\omega_{\mathcal{B}}^u = \omega_{\mathcal{B}}^{u*}$  when  $|u_{1,2} + \mu_{1,2}/k_u| \leq \delta$ . Then, one deduces from (22c) and the relations  $\mathbf{u} \cdot \omega_J^u = \mathbf{u} \cdot \omega_{\mathcal{B}}^u = \mathbf{0}$  that  $\omega \cdot \mathbf{u} = \omega^* \cdot \mathbf{u}$  and, subsequently, that  $\omega = \omega^*$  when  $|u_{1,2} + \mu_{1,2}/k_u| \leq \delta$ . Moreover, the equality (8) is always satisfied with this choice, in accordance with the priority given to the realization of the primary objective. ■

**Remarks.** • For  $u_{1,2}$  to be dominant on the left-hand side of the inequality  $|u_{1,2} + \mu_{1,2}/k_u| \leq \delta$  (allowing for the realization of the secondary objective),  $k_u$  should be chosen large enough.

• Thrust-tilting may involve only a single rotation about a body-fixed axis (see, e.g., Cetinsoy et al., 2012; Notarstefano & Hauser, 2010; Papachristos et al., 2011; Russo et al., 2011) in contrast with the two d.o.f. rotation case here considered. A straightforward adaptation of the proposed control design for the realization of the secondary objective then consists in replacing the control law (21) by

$$\begin{cases} \dot{u}_1 = -k_u u_1 + k_u \text{sat}^\delta(u_1 + \mu_1/k_u) \\ \dot{u}_2 = u_2 = 0. \end{cases}$$

## 4. Application to a thrust-tilted quadrotor UAV

The above control solution has been tested on the model of a quadrotor UAV sketched in Fig. 1. We assume that the four rotor axes can be simultaneously tilted and in the same direction corresponding to the overall thrust direction  $\mathbf{u}$ . Let  $P_i$  ( $i = 1, \dots, 4$ ) be the pivoting points of the four rotors, with their positions in the body frame  $\mathbf{GP}_1 = h\mathbf{k} + d\mathbf{i}$ ,  $\mathbf{GP}_2 = h\mathbf{k} - d\mathbf{j}$ ,  $\mathbf{GP}_3 = h\mathbf{k} - d\mathbf{i}$ ,  $\mathbf{GP}_4 = h\mathbf{k} + d\mathbf{j}$ , with  $h \in \mathbb{R}$  and  $d > 0$ . In Fig. 1,  $h = 0$ .

Let  $\varpi_i$  ( $i = 1, \dots, 4$ ) denote the angular velocities of the four rotors. According to Hamel, Mahony, Lozano, and Ostrowski (2002), the  $i$ th rotor generates a thrust force  $\mathbf{T}_i = -\alpha \varpi_i^2 \mathbf{u}$  and a drag torque  $\mathbf{Q}_i = \lambda_i \beta \varpi_i^2 \mathbf{u}$ , with  $\alpha$  and  $\beta$  two aerodynamic coefficients and  $\lambda_i = 1$  (resp.  $-1$ ) if  $i$  is odd (resp. even). The thrust  $\mathbf{T}$  and the torque vector  $\boldsymbol{\Gamma} = \{\mathbf{i}, \mathbf{j}, \mathbf{k}\} \boldsymbol{\Gamma}$  generated by the four rotors

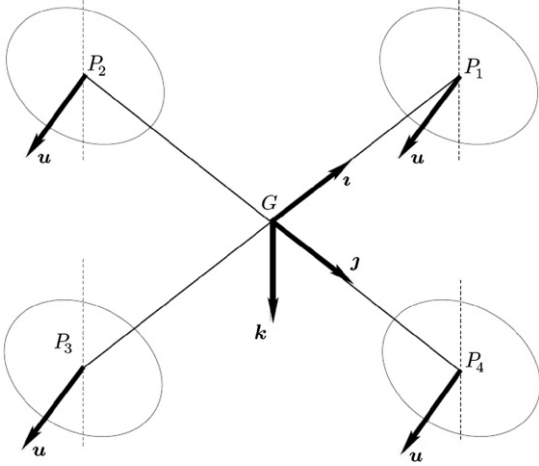


Fig. 1. Sketch of a quadrotor with thrust-tilting capability.

are given by

$$\begin{aligned} T &= \sum_i T_i = \alpha \left( \sum_i \varpi_i^2 \right) \mathbf{u} \\ \Gamma &= \sum_i (\mathbf{G}P_i \times \mathbf{T}_i + \mathbf{Q}_i) \\ &= -d\alpha(\varpi_1^2 - \varpi_3^2)(\mathbf{i} \times \mathbf{u}) + d\alpha(\varpi_2^2 - \varpi_4^2)(\mathbf{j} \times \mathbf{u}) \\ &\quad - h\alpha \sum_i \varpi_i^2 (\mathbf{k} \times \mathbf{u}) + \sum_i \lambda_i \beta \varpi_i^2 \mathbf{u}. \end{aligned}$$

One deduces the following relation between the vector of rotors angular velocities  $\varpi_i$  and the vector composed of the thrust intensity and the control torque components:

$$\begin{bmatrix} T & \Gamma \end{bmatrix}^T = A_{mot} \begin{bmatrix} \varpi_1^2 & \varpi_2^2 & \varpi_3^2 & \varpi_4^2 \end{bmatrix}^T$$

with the allocation matrix  $A_{mot}$  defined by

$$\begin{bmatrix} \alpha & \alpha & \alpha & \alpha \\ h\alpha u_2 + \beta u_1 & a_{22} & h\alpha u_2 + \beta u_1 & a_{24} \\ a_{31} & -h\alpha u_1 - \beta u_2 & a_{33} & -h\alpha u_1 - \beta u_2 \\ -d\alpha u_2 + \beta u_3 & -d\alpha u_1 - \beta u_3 & d\alpha u_2 + \beta u_3 & d\alpha u_1 - \beta u_3 \end{bmatrix}$$

with  $a_{22} := h\alpha u_2 + d\alpha u_3 - \beta u_1$ ,  $a_{24} := h\alpha u_2 - d\alpha u_3 - \beta u_1$ ,  $a_{31} := -h\alpha u_1 + d\alpha u_3 + \beta u_2$ ,  $a_{33} := -h\alpha u_1 - d\alpha u_3 + \beta u_2$ . Since  $A_{mot}$  is invertible ( $\det(A_{mot}) = 8\beta d^2 \alpha^3 u_3 > 0$ ),  $T$  and  $\Gamma$  can be given any desired values – modulo the constraint of positivity of the rotors angular velocities and the limited range of velocities imposed by power limitations of the rotors – and can thus be used as independent control variables. The direct application of the proposed control strategy relies on this actuation property.

#### 4.1. Simulation results

Specifications of the simulated vehicle are as follows:

- Mass:  $m = 1.5$  (kg),
- Moment of Inertia:  $I = \text{diag}(0.028, 0.028, 0.06)$  (kg m<sup>2</sup>),
- Level arm values:  $[h, d] = [0.05, 0.2]$  (m),
- Thrust angle limitation:  $\pi/6$  (rad),
- Body drag coeff.  $c_D = 0.0092$  (kg m<sup>-1</sup>),
- Induced drag coeff.  $c_I = 0.0959$  (kg s<sup>-1</sup>).

Concerning the calculation of the torque  $\Gamma$  in charge of producing the desired body angular velocity defined by (22c), we have used  $\Gamma = -k_\omega I(\omega - \omega^*) + \omega \times I\omega^*$ , with  $\omega^*$  the vector of coordinates, expressed in the body-fixed frame, of the reference angular velocity defined by (22c), and  $k_\omega$  a positive gain. Due to the parasitic torque induced by the chosen non-zero value of  $h$  (one of the

parameters characterizing the position of the propellers) and the non pre-compensation of both this torque and the reference angular acceleration  $\dot{\omega}^*$  in the expression of  $\Gamma$ , there remains a residual error between  $\omega$  and  $\omega^*$  in the general case. We have made this simplification in the control calculation in order to test the robustness of the proposed control design against (inevitable) modeling errors. This explains residual position tracking errors that are observable in the reported simulation results.

The primary objective considered for these simulations is the position tracking of an eight-shaped Lissajous trajectory defined by:  $\mathbf{x}_r = 5 \sin(a_r t)\mathbf{i}_0 + 5 \sin(2a_r t)\mathbf{j}_0$ . By changing the parameter  $a_r$ , one modifies the time period of a complete run, as well as the associated reference velocity and acceleration. Two values of  $a_r$  ( $2\pi/15$  and  $\pi/5$ ) are considered. The first one corresponds to a “slow” run (Simulation 1) that involves non-saturated thrust-direction tilt angles, whereas the second one corresponds to a “fast” run (Simulation 2) along portions of which the tilt angle attains its maximum value.

The chosen secondary objective is the stabilization of the vehicle’s attitude about the identity matrix. In particular, the realization of this objective requires the vehicle’s plane containing the rotors’ pivot points  $P_{1,2,3,4}$  to remain horizontal all the time. The associated angular velocity control  $\omega^*$  is given by (17).

The control gains and other parameters involved in the calculation of the control inputs are chosen as follows:

- $\kappa(\mathbf{u}, t) = 30$ ,  $c = 0.01$ ,
- $k_p = 1.21$ ,  $k_d = 1.55$ ,  $k_i = 0.24$ ,  $\Delta_p = \frac{4}{k_p}$ ,  $\Delta_v = \frac{4}{k_d}$ ,
- $k_{dI} = 4$ ,  $k_{pI} = 4$ ,  $\Delta_{I_p} = \frac{4}{k_i}$ ,  $\ddot{I}_{p,\max} = \frac{4}{k_i}$ ,
- $\kappa_\omega = 10$ ,  $k_u = 20$ ,  $k_\omega = 20$ .

In the control expression, the aerodynamic force  $\mathbf{F}_1^a$  is approximated by  $\mathbf{F}_1^a \approx -c_D |\mathbf{v}_r| \mathbf{v}_r - c_I \sqrt{m\mathbf{g}} \mathbf{v}_r$  which is obtained by replacing  $T$  and  $\mathbf{v}^a$  by  $mg$  and  $\mathbf{v}_r$ , respectively. The time-derivative of this force, also needed for the control calculation, is calculated accordingly. Initial conditions for the vehicle’s configuration are as follows:

$$\begin{cases} \mathbf{x}(0) = 0.8\mathbf{j}_0, & \mathbf{v}(0) = 5a_r\mathbf{i}_0 + 10a_r\mathbf{j}_0, \\ \{\mathbf{i}(0), \mathbf{j}(0), \mathbf{k}(0)\} = \{\mathbf{i}_0, \mathbf{j}_0, \mathbf{k}_0\}, \\ \mathbf{u}(0) = \mathbf{k}(0), & \boldsymbol{\omega}(0) = \boldsymbol{\omega}_{\mathcal{B}}^u(0) = \mathbf{0}. \end{cases}$$

Two simulations, in the absence of wind (i.e.,  $\mathbf{v}_w = \mathbf{0}$ ), are reported next.

- *Simulation 1* ( $a_r = 2\pi/15$ ): The time period for a complete run is 15 s. The projection on the horizontal plane of the path followed by the vehicle’s CoM is shown in Fig. 2. Variations w.r.t. time of the vehicle and thrust inclination angles, of the position tracking errors, and of the thrust magnitude, are shown in Figs. 3–5. In Figs. 2 and 3 the nine highlighted points correspond to time-instants when the reference trajectory involves large acceleration variations. From Fig. 2 one can observe that the vehicle catches up with, and subsequently closely follows, the reference trajectory. Despite a rather aggressive reference trajectory, with an average longitudinal velocity of about 4 m/s and accelerations sometimes exceeding 3 m/s<sup>2</sup>, the vehicle’s base remains always horizontal (see Fig. 3). One can also observe from Fig. 3 that the thrust-direction tilt angle never reaches its maximum value (equal to  $\pi/6$  rad). Both goals are thus achieved (almost) perfectly in this case.
- *Simulation 2* ( $a_r = \pi/5$ ): This simulation is devised to illustrate the effects of thrust-tilting saturation and the corresponding control monitoring. The time-period for a complete run is reduced to 10 s and the reference trajectory is more aggressive than the one in Simulation 1. Results are shown in Figs. 6–10. One can now observe from Fig. 7 that the vehicle’s inclination periodically departs from zero when the thrust-direction tilt

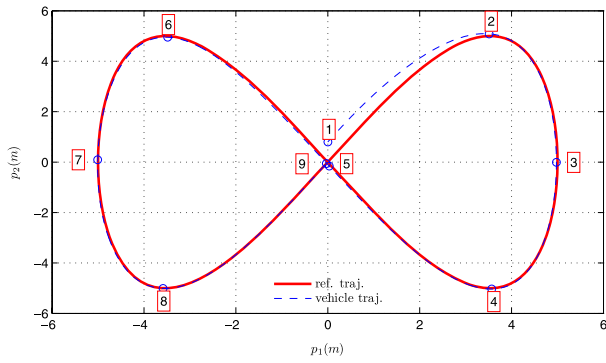


Fig. 2. Reference and vehicle trajectories projected on the horizontal plane (Sim. 1).

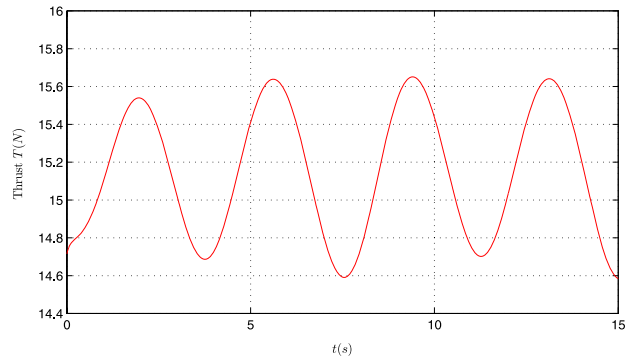


Fig. 5. Thrust intensity vs. time (Sim. 1).

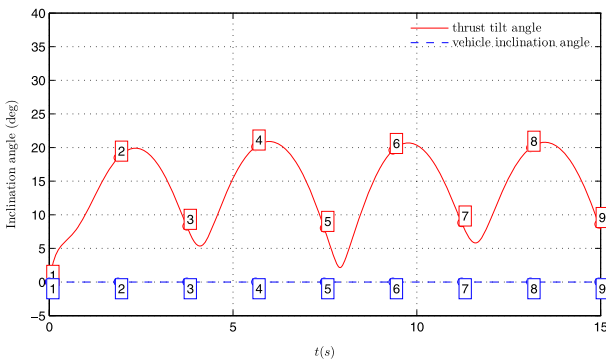


Fig. 3. Thrust and vehicle inclination angles vs. time (Sim. 1).

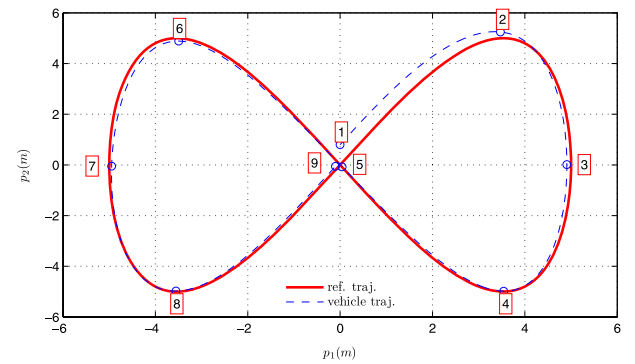


Fig. 6. Reference and vehicle trajectories projected on the horizontal plane (Sim. 2).

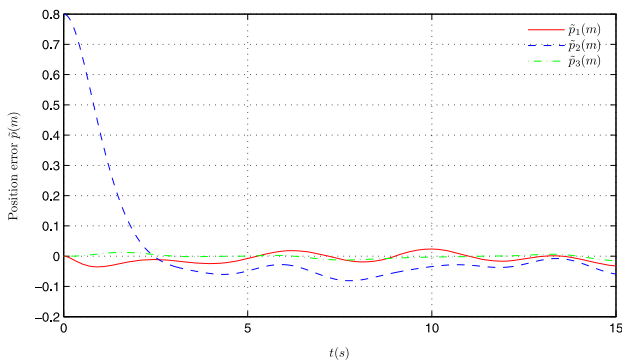


Fig. 4. Position tracking errors vs. time (Sim. 1).

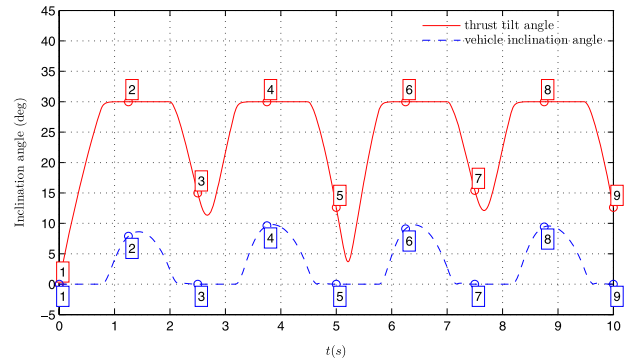


Fig. 7. Thrust and vehicle inclination angles vs. time (Sim. 2).

angle attains its maximum value. While the primary position tracking task is again performed perfectly, the secondary objective is, as expected, imperfectly realized in this case. However, the body's inclination returns to the desired zero value as soon as the thrust tilt angle needed to achieve the secondary objective re-enters the domain of allowed tilt angles, a behavior that we find satisfactory. Finally, a video showing the simulations results is available at <http://youtu.be/e7nreUHVbbk>.

### 5. Conclusion

Nonlinear control of VTOL vehicles endowed with thrust-tilting capability has been addressed and a generic control solution exploiting thrust-tilting augmentation has been devised. The proposed solution potentially applies to a large panel of aerial vehicles with extended flight envelopes. It involves a primary objective consisting in the asymptotic stabilization of either a reference velocity or a reference position trajectory, and a secondary

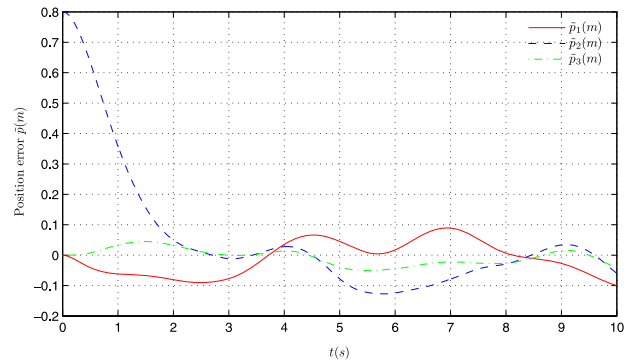
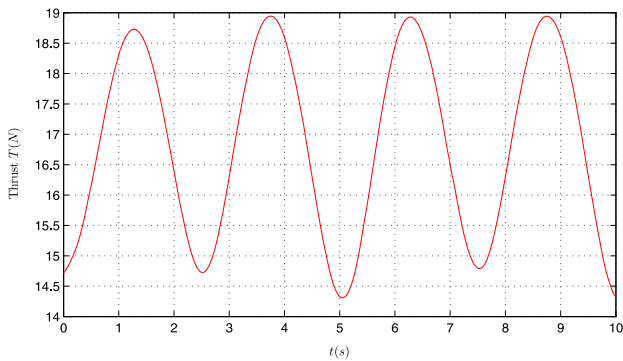
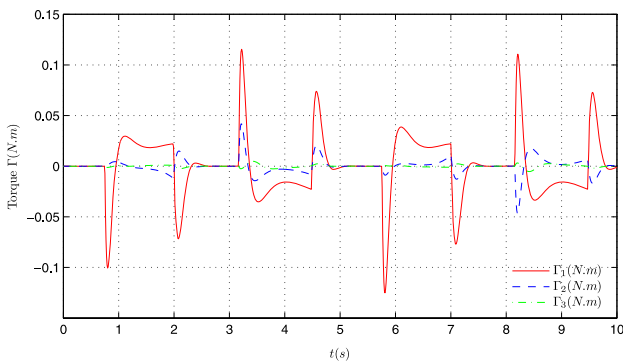


Fig. 8. Position tracking errors vs. time (Sim. 2).

objective consisting in the asymptotic stabilization of either a reference direction for one of the body-base vectors or a complete reference orientation for the body-fixed frame. A major original outcome of the present study is the definition of a control solution



**Fig. 9.** Thrust intensity vs. time (Sim. 2).



**Fig. 10.** Torque inputs vs. time (Sim. 2).

that takes thrust-tilting limitations into account explicitly. We view it also as new contribution to the ongoing development of a unified nonlinear approach to the control of aerial vehicles, in the continuation of Hua et al. (2009); Hua, Pucci, Hamel, Morin, and Samson (in press), Pucci (2011) and Pucci et al. (2014). Many open problems on this topic remain. Perspectives include the extension of the proposed approach in order to properly account for the dependence of the aerodynamic forces on the vehicle's orientation, as in the case of twin tilt-rotors and tilt-wing UAVs. In addition, since the proposed thrust-tilting control strategy has been so far validated only in simulation, experiments carried out on physical devices are thus needed to adapt the approach to the specificities of each flying device and assert its practical usefulness.

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UAVs.

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